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NOTICE OF ALLOWANCE AND FEE(S) DUE

BROOKS, CAMERON & HUEBSCH, PLLC 1221 NICOLLET AVENUE SUITE 500 MINNEAPOLIS, MN 55403 EXAMINER
BUI, VY Q

ART UNIT PAPER NUMBER
3773

DATE MAILED: 04/01/2011

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/784,378 | 02/23/2004 | George Goicoechea | 94-P0273US23 | 8809 |

TITLE OF INVENTION: BIFURCATED ENDOLUMINAL PROSTHESIS

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$0 | \$0 | \$1510 | \$0 | 07/01/2011 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

| appropriate. All further correspondence including the Patent, advance orders and notification indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new comaintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) | | | | v correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for Note: A certificate of mailing can only be used for domestic mailings of the | | | |
|--|---|--|--|--|---------|---------------------------|--|
| | | | | Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must | | | |
| BROOKS, CAMERON & HUEBSCH, PLLC 1221 NICOLLET AVENUE SUITE 500 MINNEAPOLIS, MN 55403 | | | I Si | have its own certificate of mailing or transmission. Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the Unit States Postal Service with sufficient postage for first class mail in an envelo addressed to the Mail Stop ISSUE FEE address above, or being facsim transmitted to the USPTO (571) 273-2885, on the date indicated below. | | | |
| | 5, 111 (55 105 | | | | | | (Depositor's name) |
| | | | | | | | (Signature) |
| | | | L | | | | (Date) |
| APPLICATION NO. | FILING DATE | | FIRST NAMED INVENTO | DR | ATTC | RNEY DOCKET NO. | CONFIRMATION NO. |
| 10/784,378 | 02/23/2004 | • | George Goicoechea | pechea 94-P0273US | | 94-P0273US23 | 8809 |
| TITLE OF INVENTION | I: BIFURCATED ENDC | DLUMINAL PROSTHES | IS | | | | |
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| nonprovisional | NO | \$0 | \$0 | \$1510 | | \$0 | 07/01/2011 |
| EXAM | IINER | ART UNIT | CLASS-SUBCLASS | | | | |
| BUI, | VY Q | 3773 | 623-001110 | | | | |
| CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON TO PLEASE NOTE: Linless an assignee is identified below, no assignee. | | | (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. CHE PATENT (print or type) data will appear on the patent. If an assignee is identified below, the document has been filed for | | | | |
| (A) NAME OF ASSI | GNEE | pletion of this form is NO | (B) RESIDENCE: (CI | TY and STATE OR | | , | up entity 🗖 Government |
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| Publication Fee (No small entity discount permitted) Advance Order - # of Copies | | | ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). | | | | |
| 5. Change in Entity Sta | , | | | | | | |
| | s SMALL ENTITY state | | b. Applicant is no le | | | | |
| NOTE: The Issue Fee an interest as shown by the | d Publication Fee (if req records of the United Sta | uired) will not be accepte tes Patent and Trademark | d from anyone other than COffice. | the applicant; a reg | istered | attorney or agent; or the | e assignee or other party in |
| Authorized Signature | | | | Date | | | |
| Typed or printed name | | | | Registration 2 | No | | |
| an application. Confiden | tiality is governed by 35 d application form to the ions for reducing this bu /irginia 22313-1450. DC | U.S.C. 122 and 37 CFR | 1.14. This collection is a depending upon the inc | estimated to take 12 lividual case. Any c | minute: | s to complete, including | by the USPTO to process), gathering, preparing, and the you require to complete trument of Commerce, P.O. or Patents, P.O. Box 1450, |

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| 54953 75 | 90 04/01/2011 | EXAMINER | | | |
| · · | ERON & HUEBSCH | BUI, VY Q | | | |
| 1221 NICOLLET AVENUE SUITE 500 MINNEAPOLIS, MN 55403 | | | ART UNIT | PAPER NUMBER | |
| | | | 3773 | | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 295 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 295 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

| | Application No. Applicant(s) | | | | | | |
|--|---|--|---------------------------|--|--|--|--|
| | 10/784,378 | GOICOECHEA ET A | A.I | | | | |
| Notice of Allowability | Examiner | Art Unit | <u>. —</u> | | | | |
| | Vy Q. Bui | 3773 | | | | | |
| | | | | | | | |
| The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED or other appropriate comm GHTS. This application is | in this application. If not include nunication will be mailed in due | ed course. THIS | | | | |
| 1. This communication is responsive to <u>3/10/2011</u> . | | | | | | | |
| 2. X The allowed claim(s) is/are <u>54-58,60-62 and 64-66</u> . | | | | | | | |
| 3. ☐ Acknowledgment is made of a claim for foreign priority ur a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have | |) or (f). | | | | | |
| □ Certified copies of the priority documents have □ Certified copies of the priority documents have | | tion No | | | | | |
| Copies of the certified copies of the priority documents have | • • | | ion from the | | | | |
| International Bureau (PCT Rule 17.2(a)). | cuments have been receiv | ed III IIIS Hational Stage applicat | ion nom the | | | | |
| * Certified copies not received: | | | | | | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | | | | | | | |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give | | | OTICE OF | | | | |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mus | t be submitted. | | | | | | |
| (a) ☐ including changes required by the Notice of Draftspers | on's Patent Drawing Revi | ew (PTO-948) attached | | | | | |
| 1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date | | | | | | | |
| (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date | | | | | | | |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t | | | back) of | | | | |
| 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. | | | | | | | |
| | | | | | | | |
| Attachment(s) | E □ Notice of | Informal Detant Application | | | | | |
| Notice of References Cited (PTO-892) Notice of Draftperson's Patent Drawing Review (PTO-948) | | Informal Patent Application Summary (PTO-413), | | | | | |
| | Paper No | o./Mail Date | | | | | |
| 3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 3/10/2011 | 7. 🗌 Examiner | 's Amendment/Comment | | | | | |
| Examiner's Comment Regarding Requirement for Deposit of Biological Material | _ | 's Statement of Reasons for Allo | wance | | | | |
| 9. ☐ Other | | | | | | | |
| | | | | | | | |

DETAILED ACTION

Reasons For Allowance

The prior art of reference has failed to disclose to suggest a prosthesis assembly as recited in independent claims 54, 57 and 60, wherein a wire skeleton extends from one respective proximal end to another respective distal end in each proximal prosthesis and distal prosthesis.

Claims **54-58**, **60-62**, **64-66** are allowed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Vy Q. Bui whose telephone number is 571-272-4692. The examiner can normally be reached on Monday-Tuesday and Thursday-Friday.

Application/Control Number: 10/784,378 Page 3

Art Unit: 3773

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jackie Ho can be reached on 571-272-46962. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Vy Q. Bui/

Primary Examiner, Art Unit 3773